



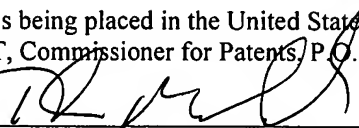
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frank Masciarelli  
Serial No.: 10/764,344  
Filing Date: January 23, 2004  
For: METHODS AND APPARATUS FOR PROVIDING  
UNINTERRUPTIBLE POWER  
Examiner: Not Yet Assigned  
Art Unit: 2836  
Confirmation No.: 5778

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 12th day of May, 2005.

  
Thomas M. Sullivan, Esq. (Reg. No. 39,392)

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**MAIL STOP AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

Transmitted herewith in connection with the above-identified patent application are the following documents:

- ☒ Information Disclosure Statement (3 pgs.);
- ☒ PTO Form 1449 (in duplicate - 2 pgs.);
- ☒ References Cited (three (3) U.S. Applications and C1); and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

Applicant(s): Frank Masciarelli  
U.S.S.N: 10/764,344  
Page 2 of 2

The Commissioner is hereby authorized to charge any additional or overpayments to Deposit Account No. 50/2762; Ref. No. A2000-700119. A duplicate copy of this transmittal is enclosed.

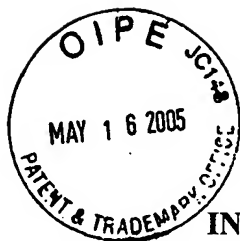
Respectfully submitted,

By: 

Thomas M. Sullivan, Esq. (Reg. No. 39,392)  
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Attorney for Applicant

Dated: May 12, 2005  
Attorney Docket No.: A2000-700119

764158.1



Attorney Docket No. A2000-700119

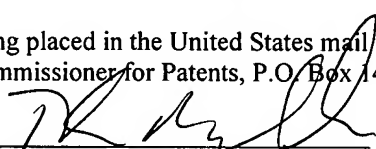
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Thomas M. Sullivan, Esq. (Reg. No. 39,392)

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**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

Applicant: Frank Masciarelli  
U.S.S.N.: 10/764,344

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Inventor(s)</u>      |
|-------------------|--------------------|-------------------------|
| 10/764,343        | 01/23/2004         | Srdan Mutabdzija et al. |
| 10/763,813        | 01/23/2004         | James E. Briggs et al.  |
| 10/763,811        | 01/23/2004         | David Schuttler et al.  |

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Applicant: Frank Masciarelli  
U.S.S.N.: 10/764,344

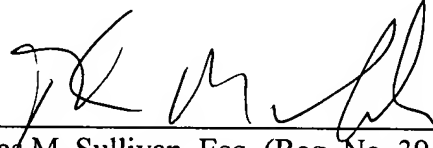
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

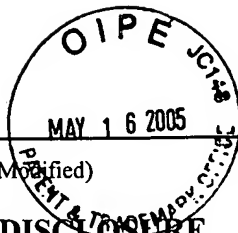
Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:   
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Attorney for Applicant

Dated: May 12, 2005  
Attorney Docket No.: A2000-700119



FORM PTO-1449/A and B (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

APPLICATION NO.: 10/764,344

ATTY. DOCKET NO.: A2000-700119

FILING DATE: January 23, 2004

CONFIRMATION NO.: 5778

APPLICANT(S): Frank Masciarelli

GROUP ART UNIT: 2836

EXAMINER: Not Yet Assigned

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue<br>of Cited Document<br>MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|---|--|
|                        |             | Number               | Kind<br>Code |   |  |
|                        | A1          | *4,065,711           |              | Kawabata  | 12/27/1977   |
|                        | A2          | *4,673,826           |              | Masson  | 06/16/1987   |
|                        | A3          | *4,709,202           |              | Koenck et al.                                   | 11/24/1987   |
|                        | A4          | *4,763,013           |              | Gvoth, Jr. et al.                               | 08/09/1988   |
|                        | A5          | *4,816,982           |              | Severinsky                                      | 03/28/1989   |
|                        | A6          | *4,823,247           |              | Tamoto  | 04/18/1989   |
|                        | A7          | *4,827,151           |              | Okado   | 05/02/1989   |
|                        | A8          | *4,831,508           |              | Hunter  | 05/16/1989   |
|                        | A9          | *4,935,861           |              | Johnson, Jr. et al.                             | 06/19/1990   |
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|                        | A12         | *4,980,812           |              | Johnson, Jr. et al.                             | 12/25/1990   |
|                        | A13         | *5,017,800           |              | Divan   | 05/21/1991   |
|                        | A14         | *5,019,717           |              | McCurry et al.                                  | 05/28/1991   |
|                        | A15         | *5,045,989           |              | Higaki et al.                                   | 09/03/1991   |
|                        | A16         | *5,047,961           |              | Simonsen  | 09/10/1991   |
|                        | A17         | *5,057,698           |              | Widener et al.                                  | 10/15/1991   |
|                        | A18         | *5,099,410           |              | Divan   | 03/24/1992   |
|                        | A19         | *5,126,585           |              | Boys  | 06/30/1992   |
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|                        | A30         | *5,384,792           |              | Hirachi   | 01/24/1995   |
|                        | A31         | *5,422,558           |              | Stewart   | 06/06/1995   |
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|                        | A34         | *5,465,011           |              | Miller et al.                                   | 11/07/1995   |
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|   |   |    |   |                                 |  |                                |  |
|---|---|----|---|---------------------------------|--|--------------------------------|--|
| <b>FORM PTO-1449/A and B (Modified)</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | APPLICATION NO.: 10/764,344     |  | ATTY. DOCKET NO.: A2000-700119 |  |
|   |   |    |   | FILING DATE: January 23, 2004   |  | CONFIRMATION NO.: 5778         |  |
|   |   |    |   | APPLICANT(S): Frank Masciarelli |  |                                |  |
|   |   |    |   | GROUP ART UNIT: 2836            |  | EXAMINER: Not Yet Assigned     |  |
| Sheet   | 2 | of | 2 |                                 |  |                                |  |

**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue<br>of Cited Document<br>MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|---|--|
|                        |             | Number               | Kind<br>Code |   |  |
|                        | A36         | *5,504,415           |              | Podrazhansky et al.                             | 04/02/1996   |
|                        | A37         | *5,519,306           |              | Itoh et al.                                     | 05/21/1996   |
|                        | A38         | *5,563,493           |              | Matsuda et al.                                  | 10/08/1996   |
|                        | A39         | *5,642,002           |              | MeKanik et al.                                  | 06/24/1997   |
|                        | A40         | *5,654,591           |              | Mabboux et al.                                  | 08/05/1997   |
|                        | A41         | *5,684,686           |              | Reddy   | 11/04/1997   |
|                        | A42         | *5,901,057           |              | Brand et al.                                    | 05/04/1999   |
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|                        | A44         | *6,121,695           |              | Loh   | 09/19/2000   |
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|                        | A46         | *6,274,950           | B1           | Gottlieb et al.                                 | 08/14/2001   |
|                        | A47         | *6,310,783           | B1           | Winch et al.                                    | 10/30/2001   |
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|                        | A52         | *2005/0036248        | A1           | Klikic et al.                                   | 02/17/2005   |

**FOREIGN PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | Foreign Patent Document |        |              | Name of Patentee or Applicant of Cited<br>Document<br>(not necessary) | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Translation<br>(Y/N) |
|------------------------|-------------|-------------------------|--------|--------------|---|---|----------------------|
|                        |             | Office/<br>Country      | Number | Kind<br>Code |   |   |                      |
|                        | B1          |                         |        |              |   |   |                      |
|                        | B2          |                         |        |              |   |   |                      |

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),<br>publisher, city and/or country where published. | Translation<br>(Y/N) |
|------------------------|-------------|---|----------------------|
|                        | C1          | Symmetra Brochure, "Server, Network and Telecom Power Soutlions," pps. 1-20.  |                      |
|                        | C2          |   |                      |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications), or a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]